



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: SONY / 91

Applicant:

Chinping Q Yang, Robert Weixiu Du

Title:

AUDIO POST PROCESSING IN DVD, DTV AND OTHER AUDIO VISUAL PRODUCTS

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CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number EL725698940US

Date of Deposit May 30, 2001

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service Express Mail Post Office to Addressee' service under 37 CFR 1 10 on the above date and is addressed to the Assistant Commissione for Patents, Washington, D.C. 20231.

Ryan Cummins (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☑ Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. ___, filed on ___.
- ☐ Continuation of prior application Serial No. ___, filed on __
- Continuation-in-part of prior application Serial No. __, filed on __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- □ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. ___ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- Small Entity Status is Requested

The Fees are Calculated as Follows:

Fee:	Number of Claims:	In Excess of:	Extra	At Rate:	Amount:	
Total Claims	29	20	9	\$18	\$162 00	
Independent Claums	5	3	2	\$80	\$160.00	
MULTIPLE DEPENDENT CLAIM FEE						
BASIC FEE						
TOTAL OF ABOVE CALCULATIONS						
REDUCTION BY 50% F	OR FILING BY SMALL ENT	TITY				
TOTAL						

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ENCLOSURES

- ☑ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- Return Postcard.

APPLICATION PAPERS

- ☑ Utility Patent Application, with: cover sheet, 31 page(s) specification (including 29 total claim(s), of which 5 is(are) independent), and 1 page(s) abstract.
- ☐ Drawings: 3 sheet(s) of <u>formal</u> drawings (<u>5</u> total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- □ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- An Executed Declaration, Power of Attorney and Petition Form.
- Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- Assignment to Sony Corporation and Sony Electronics Inc. , Recordation Cover Sheet (Form PTO-1595)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)
- □ Other: __

CHECKS

- ☑ A Check of \$1,032.00 for the filing fee.
- ☑ A Check of \$40.00 for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of ___.
- □ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

Respectfully Submitted,

WOOD, HERRON & EVANS, L.L.P. 2700 Carew Tower Cincinnati, Ohio 45202 (513) 241-2324

TWH/lgk

Thomas W. Humphres Reg. No. 34,353

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